



# Issues and Challenges of Mangrove conservation in the Anthropocene

## Desafíos de la conservación del mangle en el Antropoceno

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### ABSTRACT

This essay addresses the conservation issues facing mangroves in the Anthropocene, defined as the era of human domination over the world. We review the laws, policies, international agreements, and local actions that address the conservation of mangrove forests in the Neotropics and relate them to the Anthropocene. Collaboration between governments, non-governmental organizations, and communities that depend on mangroves for their livelihood will be critical in the Anthropocene. The essay also reviews recent developments in mangrove ecology and ecophysiology that enlighten how mangroves might respond to changes in temperature and rainfall, sea level rise, and other anthropogenic and natural disturbances. Mangroves in the Anthropocene will also face changes in their species composition given the current movement of mangroves species across continental barriers as a result of human activity. These trends will lead to novel mangrove forests and in some cases expand the range of mangroves worldwide. The solution to mangrove persistence in the Anthropocene is not to isolate mangroves from people, but to regulate interactions between mangroves and humans through effective management. We will also have to expand the scope of the ecological analysis of mangrove ecosystems to include the social forces converging on the mangroves through an analytical approach that has been termed Social Ecology.

**KEY WORDS:** carbon fluxes, climate change, community participation, ecophysiology, mangrove cover and cover change, novel ecosystems, oligo and eutrophy, protected areas, salinity stress.

### RESUMEN

Este ensayo aborda los problemas de conservación que enfrentan los manglares en el Antropoceno, definido como la época de la dominación humana sobre el mundo. En él repasamos las leyes, políticas, acuerdos internacionales y las acciones locales que se ocupan de la conservación de los bosques de mangle en el Neotrópico y se relacionan con el Antropoceno. La colaboración entre gobiernos, organizaciones no gubernamentales y las comunidades que dependen de los manglares para su sustento será decisiva en el Antropoceno. El ensayo también incluye comentarios sobre los avances recientes en ecología de manglares y en ecofisiología que explican cómo los manglares podrían responder a los cambios de temperatura y precipitación, el aumento del nivel del mar y otras perturbaciones naturales y antropogénicas. Los manglares en el Antropoceno también enfrentarán a cambios en su composición de especies, dado el actual movimiento de especies de manglares a través de barreras continentales como resultado de la actividad humana. Estas tendencias conducirán a nuevas formaciones de manglares y en algunos casos ampliarán la presencia de los manglares en todo el mundo. La solución a la persistencia de manglares en el Antropoceno es no aislar a los manglares de la gente, sino regular las interacciones entre los manglares y los seres humanos a través de una gestión eficaz. También tendremos que ampliar el alcance del análisis ecológico de los ecosistemas de manglar para incluir las fuerzas sociales convergentes en los manglares a través de un enfoque analítico que se ha denominado Ecología Social.

**PALABRAS CLAVE:** flujos de carbono, cambio climático, participación de la comunidad, Ecofisiología, cubierta de manglares y cambio de cubierta, nuevos ecosistemas, oligo y eutrofia, áreas protegidas, estrés de salinidad.

## INTRODUCTION

Fifty years have passed since Golley *et al.* (1962) published the results of a pioneer study on mangrove functioning. Other similar studies, coupled to an older and larger literature (reviewed in Lugo and Snedaker, 1974) on mangrove zonation, mangrove habitat geomorphology and ecohydrology, and mangrove ecophysiology have led to a holistic ecosystem approach to mangrove conservation. This approach is based on ecosystem-level understanding of mangroves and results in large-scale mangrove conservation schemes that consider the mangrove forest within the context of upland and marine ecosystems. These large-scale configurations of tropical mangrove landscapes approach mangrove conservation hierarchically from the regional context, to individual mangrove zones, mangrove stands within zones, and finally mangrove individuals (Twilley and Rivera Monroy, 2009).

Eleven years ago, the summary by Lugo (2002) examined the issues and challenges of mangrove conservation in Latin America. That essay highlighted the emerging consensus of the various ecological scales at which mangrove conservation must focus on, as well as the mangrove paradoxes that required scientific attention. The generalizations in that review remain relevant today and need not be reviewed again. However, a major development in the scientific field merits our attention today as we consider the challenges of mangrove conservation in this 21st century. Paul Crutzen, a Nobel Prize-winning atmospheric chemist suggested that the Anthropocene, or the era of human domination over the world, be officially designated as a geologic era that followed the Holocene (Crutzen, 2002). This proposal recognizes that human activities are so prevalent over our planet that they are changing the conditions that influence the functioning of the biota. For mangroves this means coping with a different atmospheric gas composition, sea level rise, atmospheric warming, changes in the frequency and intensity of atmospheric events, changes in hydrological conditions including levels and quality of runoff, and loss of cover as a result of urbanization, agriculture, and other land cover changes (Gilman *et al.*, 2008; Alongi, 2008). Moreover, the

biogeography of the biota of the world is also changing as a result of increased levels of commercial activities involving the movement of organisms across the globe. This global movement of the biota also affects mangroves.

Understanding and then anticipating, and where appropriate, manipulating how mangroves will respond to the environment of the Anthropocene is a scientific challenge because most of our attention to mangroves has concentrated on the conditions of the Holocene. While much of our Holocene-based knowledge will be useful and necessary to understanding mangroves in the Anthropocene, it is also true that many of the anticipated novel conditions of the Anthropocene that affect mangroves have not been studied and are thus poorly understood. A dramatic example resulted from the effects of tsunamis on the coasts of Asia. Mangrove-lined coastlines were more effective in absorbing the energy of waves than coastlines where mangroves had been removed (Danielsen *et al.*, 2005). Many began proposing the planting of mangroves in anticipation of future tsunami events, but such activities could be ineffective, and in fact, wasteful if the mangroves are planted outside their range of tolerance to wave action. We know that mangroves grow best under low wave energy conditions, but we don't have empirical information of mangrove growth in relation to known energy levels of wave regimes. The wave energy level thresholds of mangroves have not been addressed in the mangrove literature.

In the celebration of this Journal's twentieth anniversary, we focus our essay on the effects of the Anthropocene on mangroves based on recent estimates of mangrove cover, mangrove responses to global change, advances in the ecophysiological understanding of mangrove tree functioning, and insights into policies and institutions that affect mangroves at local to global scales. We focus on the mangroves of Latin America but also use literature from other parts of the world. Mangrove research in Latin America has increased significantly as demonstrated by the quantity and quality of presentations at the recent First Mexican Congress on Mangrove Ecosystems held at Mérida, Yucatán on October 25-29,



2010 (<http://investigacion.izt.uam.mx/ocl/Mangla-res2010.pdf>).

Our review represents a first step for leading mangrove research towards a full understanding of their response to the Anthropocene.

## MANGROVE COVER AND CONSERVATION POLICIES

Mangroves are found in over 120 countries and cover more than 15 million ha worldwide (Spalding *et al.*, 2010). Neotropical mangroves extend over 4,5 million ha, representing about 30 percent of the total global area (Spalding *et al.*, 2010). This relative value is slightly higher than the 28 percent reported in FAO (2007), but within the range reported in Lugo (2002) based on Lacerda *et al.* (1993) and FAO (1994) (Fig. 1). The mangroves of Brazil extend nearly 1,3 million ha (Fig. 2) and represent 8,5 percent of the global total area (Spalding *et al.*, 2010), second only to Indonesia (20,9 percent). Mexico and Cuba also are among the ten countries with the greatest mangrove acreage in the world (4th [5,0 percent of global total area]

and 10th [3,2 percent of global total area], respectively) (Spalding *et al.*, 2010; Giri *et al.*, 2011).

Maps of mangroves, area estimates, and changes in their land cover over time are difficult to produce at local (Martinuzzi *et al.*, 2009) to global scales (FAO 2007; Spalding *et al.*, 2010). Yet, after nearly a decade of work, Spalding *et al.* (2010) produced a comprehensive digital world map of mangrove forests, based on relatively “good resolution data for almost all of the world’s mangrove areas”, enabling increasingly accurate calculations and comparisons of mangrove areas across the globe. Determining changes in mangrove cover from historical or original areas continues to be complicated due to discrepancies with data availability and measurement methods (Giri *et al.*, 2011). For example, global mangrove area estimates in figure 1 are so variable that it is difficult to settle on a particular global area cover, although most estimates oscillate around 15 million ha. Despite ongoing challenges in mangrove measurements, it is widely agreed that the current area of mangroves is less than the original cover, but also that the rate of decline in the extent of

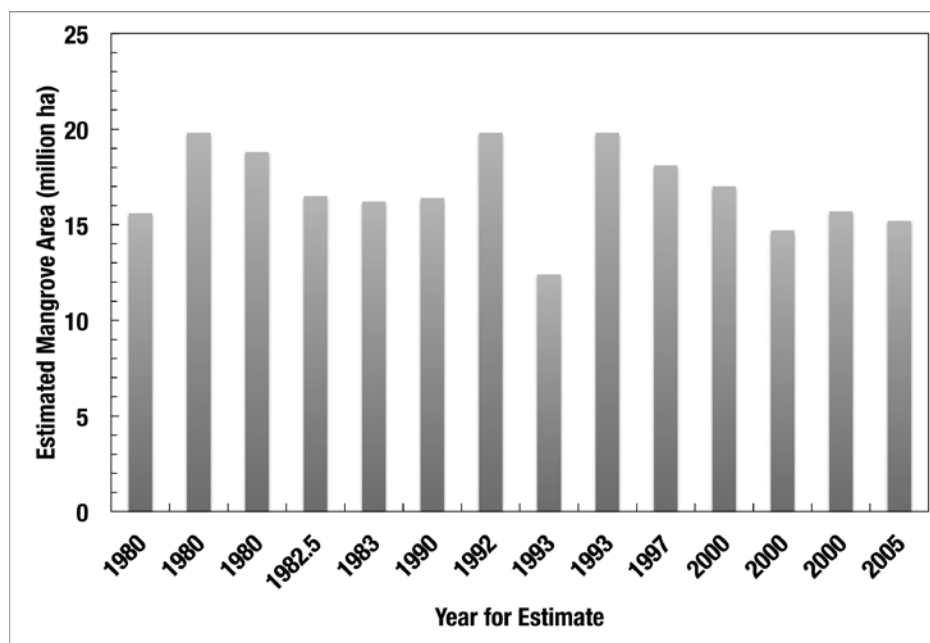


FIGURE 1. Global mangrove area at different times. Each bar represents independent estimates reported in Løyché Wilkie and Fortuna (2003) and FAO (2007). For some years, more than one independent estimate is reported.

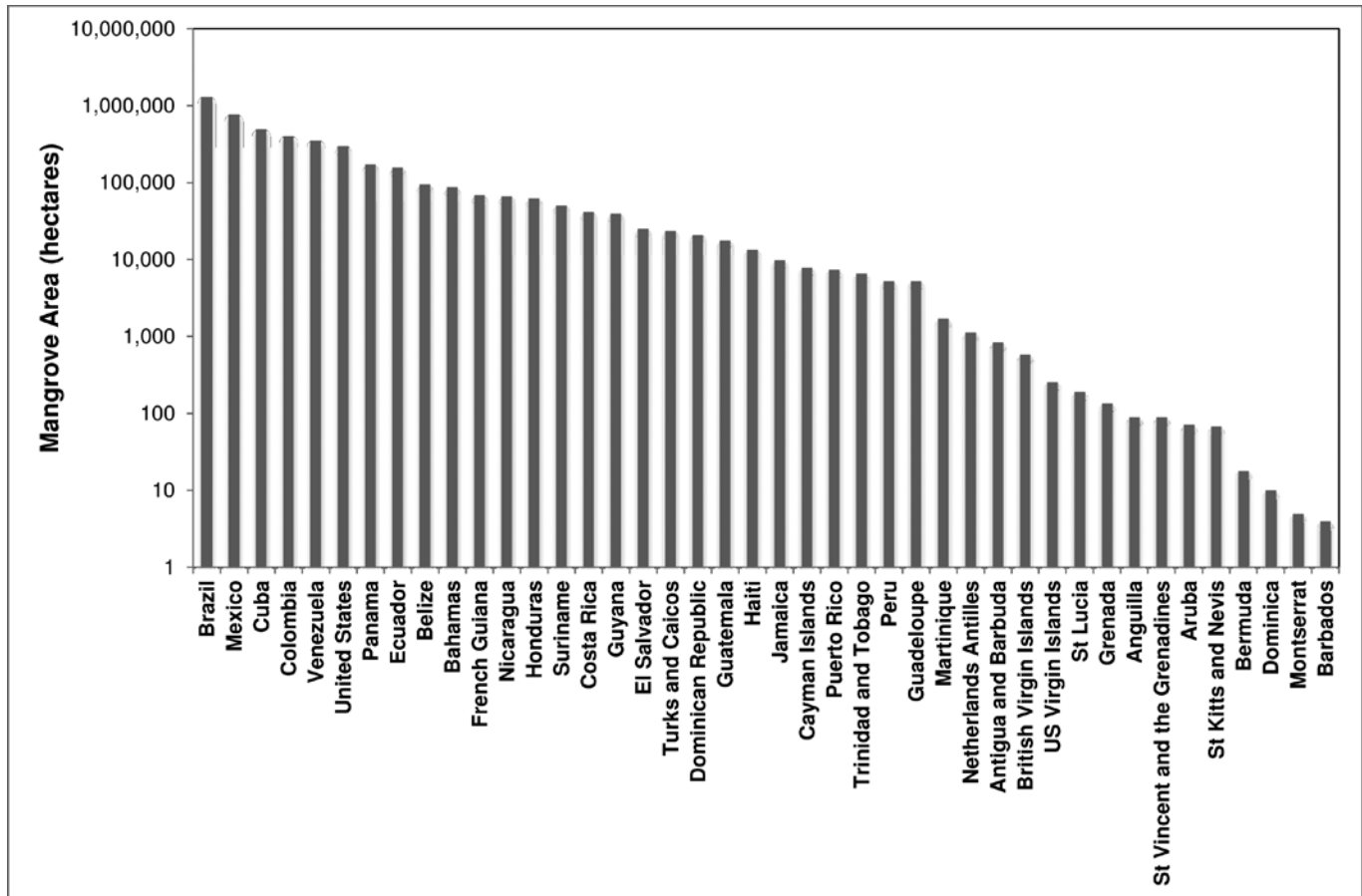


FIGURE 2. The area of mangrove forests in Neotropical countries. Countries are arranged in descending order of mangrove cover as reported by FAO (2007).

mangroves worldwide has been decreasing since about 1980 (see for example Valiela *et al.*, 2001; FAO, 2007, Spalding *et al.*, 2010) (Fig. 3). Nevertheless, the global loss of mangroves continues at a faster pace than the global rate of deforestation (mangrove forests: 0,66 percent per year 2000-2005; all forests: 0,18 percent per year 2000-2005) (FAO, 2007).

In the Neotropics, the rate of change in mangrove area varies significantly among the countries where they naturally occur. For example, between 2000 and 2005, Mexico lost the largest area of mangroves (-13 000 ha/yr or -1,5 percent) followed by Honduras (2 300 ha/yr or -3,1 percent), while Barbados and the US Virgin Islands had the highest annual rates of mangrove loss from 2000 to 2005 (-10,6 percent or -1 ha/yr and -5,6 percent or -10

ha/yr, respectively). Overall, South American mangrove areas are estimated to be declining at a slower rate than the global average and slower than the loss of mangroves in North and Central America, where “serious losses and degradation of mangroves” persist (Annual Rate of Change of Mangroves 2000-2005: Global: -0,66 percent, South America: -0,18 percent, North and Central America: -0,77 percent) (FAO, 2007).

As an example in contrasts, FAO data suggest that between 1980 and 2005, Colombia lost 20 percent of its mangroves, while Cuba recuperated mangrove area with an overall increase of nearly 2 percent. Although Colombia has established laws to regulate mangrove uses and some protected areas in mangrove ecosystems, poor enforcement and increasing pressure for conversion to

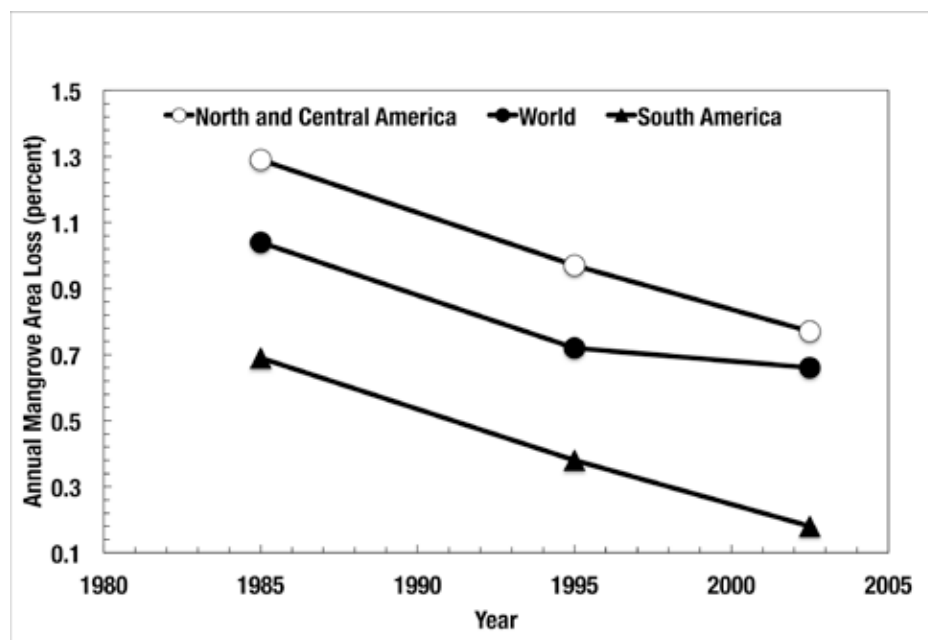


FIGURE 3. Rates of mangrove area loss based on consistent estimates by FAO (2007).

aquaculture, agriculture, and urban development have resulted in significant losses of mangrove forests. Alternatively, Cuba has invested significantly in a comprehensive mangrove conservation strategy that includes an actively managed reserve system, regulations and their enforcement, community involvement, and restoration and rehabilitation campaigns (FAO, 2007; Spalding *et al.*, 2010).

Overall, slowing rates of mangrove loss are increasingly evident in the Neotropics and around the world. These abatements can be tied in large part to new or improved legislation, enhanced protection and conservation, expanding restoration and rehabilitation, and increasing participation of local communities and other key stakeholders in policy-making, protection, management, and monitoring (Van Lavieren *et al.*, 2012; Spalding *et al.*, 2010). Nonetheless, the continued decline in mangrove forests has significant environmental and socioeconomic implications, especially for communities that depend on mangroves for their livelihoods. Moreover, pressures for conversion to other land uses and from unsustainable extractive activities likely will be exacerbated by climate change, as water levels rise and coastlines shrink (Crooks *et al.*, 2011). As

pressures on mangroves are amplified in the Anthropocene, we must identify effective policies and practices that enhance the sustainability of people-nature relations, which is the focus of the remainder of this section.

## PROTECTED AREAS

The first line of defense for many mangrove systems is their designation for protection or sustainable management in formal reserve systems. Strictly protected areas (IUCN Categories I-IV) encompass approximately 14.2 percent of all mangroves worldwide (Schmitt *et al.*, 2009). Including protected areas that permit sustainable use and harvest (IUCN Categories I-VI) increases the mangrove area under protection to nearly 21 percent, which is higher than the percent of all forests worldwide that are found within protected areas (13.5 percent) and higher than most other global forest types (e.g., tropical mangroves are ranked 5<sup>th</sup> out of 20 global forest types in terms of IUCN I-IV and I-VI percent protection) (Schmitt *et al.*, 2009). In Brazil, for example, more than 82 percent of the country's mangroves are located within protected areas, 77 percent of which permit the sustainable harvest of resources (Gravez *et al.*, 2013).

Including mangrove areas under international conventions is an additional measure that can strengthen national or subnational level protection measures. Three global agreements in particular are important to mangrove protection: the Convention on Wetlands of International Importance, also known as the Ramsar Convention, with 175 sites in the Neotropics; the UNESCO Man and the Biosphere Program with 117 Biosphere reserves in Latin America and the Caribbean; and the World Heritage Convention, with 129 sites in the region. Many of these sites encompass mangrove systems, particularly those recognized under the Ramsar Convention. In Mexico, 45 Ramsar sites cover more than 64 percent of the total mangrove area. Also, many of these sites overlap with most of the 32 federal protected areas that encompass approximately 43 percent of the total mangrove area (Spalding *et al.*, 2010). Other international agreements that are directly relevant to the conservation of mangrove biodiversity are the Convention on Biological Diversity and the Convention on the International Trade of Endangered Species. Together, these agreements promote better protection of mangroves and other threatened ecosystems and species, increased awareness and recognition of their importance, and reinforce national-level legal frameworks and institutions.

While the number and area of mangroves in reserve systems continue to grow in Latin America and the Caribbean, many are hardly more than ‘parks-on-paper’ whose mangroves and associated biodiversity are vulnerable to degradation. These ‘paper parks’ typically result from limited capacity and resources for enforcing boundaries and related regulations and poor inter-institutional collaboration and coordination. Even when sufficiently staffed and financed, protected mangroves can come into conflict with local communities and other resource users, particularly if they are excluded from the area without compensation for lost livelihoods (see for example Mora and Sale, 2011; Gravez *et al.*, 2013; Van Lavieren *et al.*, 2012). Moreover, when we consider the probability for species and ecosystem shifts, both locally and globally, under the conditions of a changing climate and ever-increasing human interventions, the viability of the exist-

ing network of protected areas, at local to global levels, must be reexamined to find ways for increased connectivity between current conditions and potential future movements.

## REGULATIONS AND OTHER LEGAL PROTECTIONS

Mangroves are also protected through regulations and other legislation. Some countries have incorporated mangrove conservation into broad-scale policy guidelines or framework legislation (e.g., Belize, Cuba), endorsing a holistic concept of mangroves as part of the larger landscape and across levels of government (Spalding *et al.*, 2010). Many countries have established laws and regulations preventing alteration or conversion of mangroves or requiring a license for their use or harvest that must be authorized by the designated authority (e.g., Barbados, Belize, Brazil, Colombia, Costa Rica, Cuba, Ecuador, El Salvador, Guatemala, Jamaica, Mexico, Panama, Peru, Puerto Rico, Trinidad and Tobago, US Virgin Islands, Venezuela). For instance, in Brazil, mangroves are protected under the federal Forest Law and are designated as Areas of Permanent Preservation through the Forestry Code. Total or partial removal of mangrove vegetation is prohibited without authorization from the relevant government agencies and only when deemed to be in the “public interest” (Almeida Magris and Barreto, 2010).

In Mexico, where mangroves extend more than 770 000 ha (Conabio, 2009), but annual losses persist at rates greater than 1,5 percent per year, legal protections intended to safeguard mangroves were rescinded in 2004, largely due to pressure from coastal developers (FAO, 2007; Van Lavieren *et al.*, 2012). In 2007, legislation on mangroves was restored and strengthened to provide for their absolute protection. Yet, enforcement remains a challenge, particularly because human and fiscal resources limit state agencies while tourism developers continue to push for reduced regulations (Spalding *et al.*, 2010). Similarly, in El Salvador, due to concerns over mangrove losses, a complete ban on mangrove logging was established in 1992. However, illegal logging and other unauthorized



uses of mangroves have continued across their range, due in large part to limited resources for law enforcement and to complex and expensive regulations that make illegality a more attractive option for most mangrove users (Gammage *et al.*, 2002).

In Puerto Rico, mangroves demonstrate measurable resiliency despite large-scale land cover changes. Throughout the 1800s, mangroves experienced a steady decline in area that is associated with intensive agriculture, which was followed by a rapid increase in area with the abandonment of agricultural activities (Fig. 4). Urbanization also caused mangrove decline in the 1960s, but with the passage and enforcement of conservation laws and regulations, alongside increasing awareness of and public support for mangroves, mangrove area is rebounding. The trajectory of mangrove cover in Puerto Rico shows that even where there are significant losses of mangroves to deforestation and other activities, the pattern can be reversed if policies are in place and publicly supported and where the conditions that lead to mangrove regeneration continue to sustain their renewal.

## COMMUNITY PARTICIPATION

Countless communities throughout the Neotropics depend on mangroves as their primary food and fuelwood source and for protection from storms and coastal erosion. Yet, traditional conservation strategies, aimed at protecting mangroves through, for example, strict protection or regulation, often result in lost revenue or adverse social effects, particularly when local communities are excluded from decision-making and/or removed from the ecosystem (Fujita *et al.*, 2013). And, while community participation is no panacea in and of itself for environmental degradation, effective resource management and conservation increasingly depend on the inclusion and involvement of local communities in related policy-making, management and monitoring (see for example Gibson *et al.*, 2000; Van Levieren *et al.*, 2012).

In Ecuador, the government is experimenting with several new forms of collaborative natural resource governance, including Mangrove Ecosystem Concessions, which are 10-year contracts between the Ministry of Environment and native communities or other organized

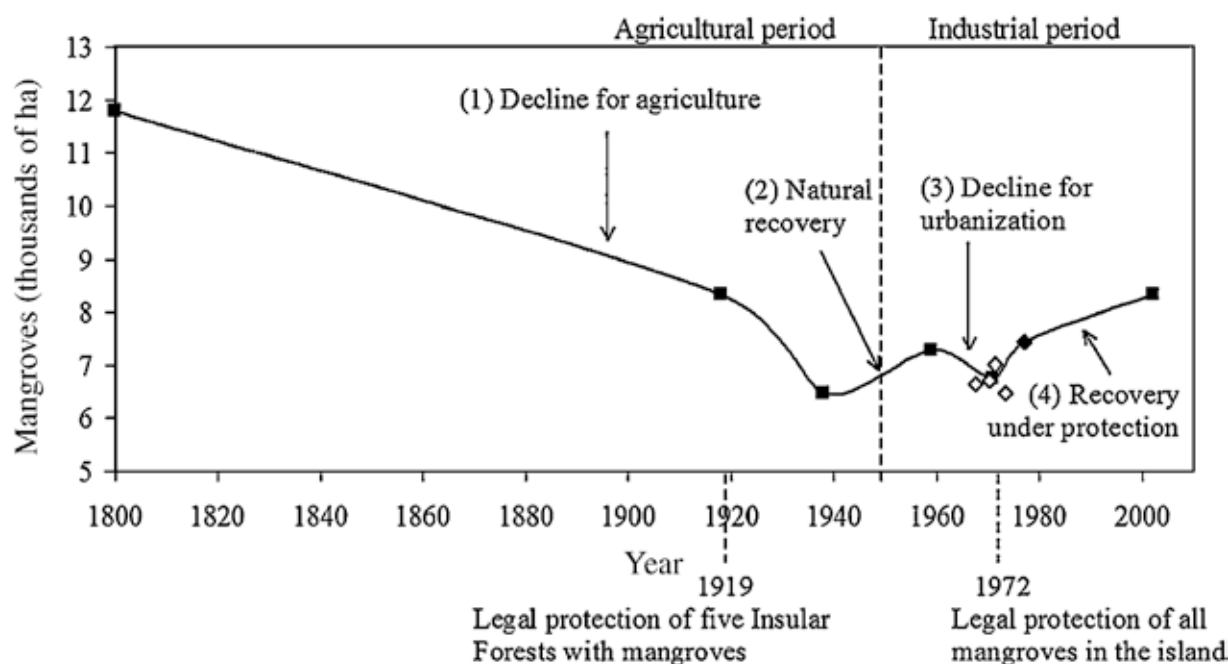


FIGURE 4. The historical decline and increase of mangrove cover in Puerto Rico as reported by Martinuzzi *et al.* (2009).

groups that grant the concessionaire with the rights to harvest seafood and monitor and prevent illegal deforestation (timber harvesting is prohibited) (Gravez *et al.*, 2013). These concessions are the only type of marine protected area in Ecuador in which local stakeholders have clear, legal title to usufruct resource rights. The majority of the more than 40 mangrove concessions covering nearly 40 000 ha are proving effective in curbing deforestation, sustaining increased seafood yields, improving livelihoods, empowering concession holders, and reducing conflicts with the large-scale shrimp industry. “Increased participation has led to debate and action on long-neglected problems, with positive social and ecological results, most notably in mangrove concessions” (Gravez *et al.*, 2013).

Similarly, in Brazil, the establishment of large extractive reserves in mangrove forests offers an alternative management approach to strict protected areas that generally exclude local inhabitants. In the extractive reserves, control and ownership of natural resources is conferred to local communities who regulate access to and harvest of timber and fishing resources. Saint Paul (2006) found that many of these extractive reserves were more effective at protecting the area and resources of mangrove and other forests than reserves managed by the Federal Government of Brazil.

## FINANCIAL INSTRUMENTS

Although mangroves are increasingly incorporated into reserve systems and addressed through legal restrictions and other regulations, mangrove loss and degradation persist, due in part to their ‘incomplete’ valuation in the modern marketplace (Fujita *et al.*, 2013). Financial instruments, such as payments for environmental services, conservation easements and mitigation banking, along with expanded markets that value a broader suite of goods and services could greatly enhance the conservation of mangroves, though successful examples of such programs are scarce. Challenges for creating these new markets and incentives include unclear property and tenure rights, overlapping jurisdictions and responsibilities, and weak institutional frameworks (Fujita *et al.* 2013).

An emerging economic strategy for mangrove conservation is their inclusion in carbon balance sheets that are being developed as part of international negotiations to address global climate change. Mangroves are important carbon sinks (discussed below) and play a significant role in climate change adaptation and disaster risk mitigation. Under the right conditions (e.g., clear tenure and usufruct rights, international support), the inclusion of mangroves in carbon accounting would permit the establishment of mechanisms for payments through carbon markets, such as those expected to develop through the Reduced Emissions from Deforestation and Forest Degradation (REDD+) scheme under the UN Framework Convention on Climate Change and its Kyoto Protocol (Van Lavieren *et al.*, 2012). These payments could offset persisting market failures and boost mangrove conservation, especially in developing countries, which hope to benefit from carbon markets developed under REDD+.

In sum, there are many policy approaches in place to conserve mangrove forests throughout the Neotropics. Mangroves are well represented in protected area systems and are further protected through governmental regulations and other policy directives related to their use. However, even where protected areas and conservation policies exist, effective implementation and enforcement remain a challenge, particularly in places with limited resources and capacity and where there are pressures for conversion and from other intensive land uses (FAO, 2007). Moreover, unclear property rights or overlapping authority for mangrove systems by multiple agencies, communities, or individuals can lead to conflicts and exacerbate mangrove degradation and conversion (Van Lavieren *et al.*, 2012; Ostrom, 2000; Gibson *et al.*, 2000). Some of the challenges in governing mangrove ecosystems are associated with market failures that may be corrected in part through the development of financial incentives and eco-markets that cover a broader array of mangrove goods and services. Ultimately, effectively governing human interactions with mangrove environments, particularly in the context of the Anthropocene, will require more meaningful and explicit stakeholder participation, as well





as increasing adaptiveness in decision-making, management, and monitoring.

## MANGROVE ECOPHYSIOLOGY

Mangrove ecosystems are characterized by their occurrence along environmental gradients at local and global scales. For example, mangroves respond globally to latitudinal temperature gradients, while locally, gradients of soil salinity are common. Therefore, understanding the ecophysiological responses of mangrove trees to environmental gradients is essential considering the limited number of mangrove species that occur along these gradients. This knowledge is of critical importance to conservation in the Anthropocene because monospecific mangrove zones usually reflect species responses to prevailing conditions along complex environmental gradients (Lugo, 1980). As these gradients change, it will be possible to infer their effects through the understanding of mangrove species ecophysiology. The success and cost-effectiveness of reforestation, restoration, and even species eradication efforts will benefit from the understanding of ecophysiological responses of mangroves to prevailing and anticipated environmental conditions.

The literature on mangrove ecosystems has rapidly increased during the last 15 years, since the publication of the now classic book *The Botany of Mangroves* (Tomlinson, 1986), and a number of relevant reviews have been written on the ecology and physiology of mangrove ecosystems components (Ball, 1988; Medina, 1999; Kathiresan and Bingham, 2001; Lüttge, 2002; Komiyama *et al.*, 2008; Gilman *et al.*, 2008; Liang *et al.*, 2008; Parida and Jha, 2010; Reef *et al.*, 2010; Feller *et al.*, 2010; Wang *et al.*, 2011). Here we will emphasize some aspects that appear to us particularly relevant for understanding the physiological ecology of mangroves in the Anthropocene.

## THE ENVIRONMENTAL PROBLEMS FACING MANGROVES

Mangroves are intertidal plant communities occurring along the low energy shores of tropical, subtropical, and warm temperate regions. They thrive under a multi-stress

environment characterized by variable salinity, determined essentially by NaCl of marine origin, oscillating low oxygen stress determined by flooding of variable duration and depth, and particularly in the tropics, conditions of year round high radiation stress. Toward warm temperate areas, the reduction in air temperature, and occasional occurrence of frosts, constitutes a further stress factor determining the latitudinal limits of mangrove species distribution. On top of this set of environmental stresses, nutrient availability is frequently limited in some mangroves reducing their productive and competitive capacity. Finally, seawater, to which mangroves are adapted to, is a highly unfavorable nutrient solution, toxic to most angiosperm plants due to the high concentration of chloride and sulfate, and non-physiological K/Na and Ca/Mg ratios.

The environmental “problems” facing mangroves may be depicted as follows: propagules establish in moist or wet sediments, with variable salt concentration in the interstitial water, in open or partially shaded areas covered by adult mangrove trees. The embryos are rich in energy supplies derived from the mother plant, and the emerging rootlets face an aqueous environment of low water potential due to the presence of abundant marine salts (mainly chlorides and sulfates of Na, Mg, and Ca). Expansion of photosynthetic surface depends on the flow of water from the soil through the xylem to the canopy. For this process to take place, a positive differential of water potential has to be established between the soils and the plant. The energy for this derives from the evaporative potential of the atmosphere expressed as leaf-air vapor pressure deficits amounting to several tens of MPa. Water uptake under those conditions is necessarily associated with uptake of ions. Many mangrove species severely restrict excess uptake of Na<sup>+</sup> ions by the roots, but not so much of K<sup>+</sup>, so that the solution flowing through the xylem to the leaves has lower concentrations of the former compared to the interstitial water (Scholander *et al.*, 1962). Other species are less restrictive, allowing transportation of substantial amounts of Na to the leaves. In both cases salt transported in the transpiration stream accumulates sooner or later in both root and leaf tissues, reaching toxic

concentrations. For this reason, transpiration is low in mangroves compared to trees from humid, non-saline environments. In addition, resistance to sap flow in xylem vessels is affected by its salt concentration in a little understood process (Sobrado, 2000). Reduction in transpiration diminishes the capacity of leaf cooling through loss of latent heat. Thus, in mangroves, water and salt budgets interact in the regulation of leaf conductance and temperature.

## ADAPTATIONS TO MULTI-STRESS ENVIRONMENTS

Responses to this multi-stress environment are diverse and include mechanisms of evasion, structural development, biochemical regulation, and physiological interactions that account for the successful establishment, growth, and reproduction of the small group of true mangrove trees. Although mangroves are often treated as a homogeneous group they are constituted by a small set of species differing widely in genetic origin and their physiological properties regarding salinity tolerance, growth habit, and dispersal capability (Tomlinson, 1986; Saenger, 2002). In the case of mangrove species native to the American continent, there are seven truly halophytic species and several species differing in salt tolerance found associated to mangrove systems in humid areas (Table 1), whose physiological properties are little known (Duke *et al.*, 1998; Medina, 2000; Mehlig *et al.*, 2010).

*Propagule Dispersion and Resprouting Ability.* Differences in resprouting capability explain variations in regeneration dynamics after strong disturbances, such as hurricanes. In mangrove areas affected by hurricane Andrew in Florida, *Rhizophora mangle* regenerated primarily via growth of seedlings present at the time of the hurricane, but trees of *Avicennia germinans* and *Laguncularia racemosa* resprouted abundantly from dormant epicormic buds (Baldwin *et al.*, 2001). Amount and size of propagules also influence dynamics of establishment. In the Atlantic coast mangroves of Venezuela, *L. racemosa* behaves as a pioneer species occupying rapidly open sediments, followed by *A. germinans* and later by the slower

growing *R. mangle*, with heavier propagules (Rivera Monroy *et al.*, 2004).

*Flood Tolerance.* Tolerance to flooding and hypoxic substrates results from processes similar to those observed in fresh water swamp trees, and all are related to anatomical features facilitating oxygen transport to roots, i.e., pneumatophores and aerenchyma development in roots and stem bases. Mangrove trees effectively oxygenate their substrate, a process that is evidenced by the precipitation of iron oxide surrounding roots within the hypoxic substrate. This process is also responsible for the oxidation of highly toxic hydrogen sulfide that accumulates in organic matter-rich hypoxic sediments surrounding mangrove roots in their natural environment. Substrate oxygenation is brought about by tidal energy facilitating expulsion of respiratory CO<sub>2</sub> accumulated within intercellular space in the root aerenchyma during high tides, and inflow of O<sub>2</sub>-rich air during low tides (Scholander *et al.*, 1955).

*Heat Stress and Photoinhibition.* High radiation may lead to overheating of photosynthetic surfaces, particularly in environments where water uptake is hindered by high salinity in the interstitial water surrounding roots. Most true mangroves are characterized by a pronounced degree of leaf inclination, a sort of avoidance mechanism reducing the amount of visible and infrared radiation absorbed by leaves. In addition, variations in leaf area and succulence contribute to maintain leaf temperatures near air temperatures with minimal evaporative cooling (Ball *et al.*, 1988). Similar avoidance responses are observed in vegetation from dry areas (Gates *et al.*, 1968) and even in humid areas in soils with low water retention capacity (Medina *et al.*, 1978). Tolerance to extreme temperatures is one of the least known properties of mangrove leaves. The few data available indicate that the temperature tolerance ranges from 1 °C to 50 °C in *R. mangle*, *A. germinans* and *L. racemosa* (Biebl, 1965). Usually, optimum temperature for photosynthesis in several mangroves species is below 35 °C (Ball, 1988). But in a *Rhizophora* spp., photosynthetic rate decreases linearly at temperatures above 30 °C reaching negative values at 45 °C (Cheeseman *et al.*, 1997). This subject requires experimental analysis



TABLE 1. American mangroves and mangrove-associated species. Tree (T), shrub (S), and vine (V). Asterisks in the salinity tolerance column indicate: \*\*\* halophytes; \*\* on sediments flooded by seawater; \* on sediments flooded with brackish water. By \* and \*\* no salinity tolerance study is known to us. Empty cells mean no information available (Popp *et al.*, 1985, 1993; Popp and Polania, 1989; Medina, 2000; Medina *et al.*, 1990).

Family and Species	Habit	Salinity Tolerance	Salt Balance	Compatible Solutes in the Genus
<b>True Mangroves <i>sensu</i> Tomlinson (1986)</b>				
Avicenniaceae				
<i>Avicennia germinans</i> (L.) L.	T-S	***	Excretion	Quaternary ammonium compounds
<i>Avicennia bicolor</i> Standl.	T-S	***	Excretion	
<i>Avicennia schaueriana</i> St. & Lec. Ex Mold.	T-S	***	Excretion	
Combretaceae				
<i>Laguncularia racemosa</i> (L.) C.F. Gaertn.	T-S	***	Excretion-succulence	mannitol
Tetrameristaceae				
<i>Pelliciera rhizophorae</i> Planch. et Triana	T	***	Exclusion	
Rhizophoraceae				
<i>Rhizophora mangle</i> L.	T-S	***	Exclusion	Ortho-methyl-muco-inositol
<i>Rhizophora racemosa</i> C. Mey	T	***	Exclusion	
<b>Species Associated With Mangroves</b>				
Annonaceae				
<i>Annona glabra</i>	T-S	*		
Apocynaceae				
<i>Rhabdadenia biflora</i>	V	**		
Arecaceae				
<i>Bactris major</i>	T	*		
Bignoniaceae				
<i>Tabebuia palustris</i> Hemsl.	T-S			
Combretaceae				
<i>Conocarpus erectus</i> L.	T-S	***	Exclusion	mannitol
Fabaceae				
<i>Dalbergia ecastaphyllum</i> (L.) Taub.	S	*		
<i>Machaerium lunatum</i> (L.) Ducke	T-S	**		
<i>Muelleria frutescens</i>	S	*		
Lythraceae				
<i>Crenea maritima</i> Aubl.	S	**		
Malvaceae				
<i>Hibiscus pernambucensis</i> Arruda	T-S	*		Quaternary ammonium compounds
<i>Pavonia spicata</i> Cav.	S	*		
<i>Thespesia populnea</i> (L.) Sol ex Corrêa	T-S	**		
Moraceae				
<i>Mora oleifera</i> (Triana ex Helms) Ducke	T	*		
Pteridaceae				
<i>Acrostichum aureum</i> L.	S	**		Ortho-methyl-muco-inositol

because temperature acclimation may induce variation in optimal temperatures of several degrees (Iba, 2002). However, temperatures above 40 °C approach the absolute limits of growth in higher plants (Berry and Björkman, 1980). The high levels of solar radiation with restriction in water supply due to salinity, might lead to disruption of the photochemical machinery in the chloroplasts in a process known as photoinhibition (Demmig *et al.*, 1987). However, measurements under natural conditions do not reveal occurrence of chronic photoinhibition in several mangrove species (Cheeseman *et al.*, 1997; Naidoo *et al.*, 2002).

*Interstitial water osmolality and cellular salt compartmentalization.* The environmental stress selecting for a specialized physiology and biochemistry is the elevated ion concentration characteristics of marine coasts where mangrove vegetation establishes and reproduces. This stress is not avoidable under natural conditions because water available for uptake is always saline. A certain degree of avoidance may be represented by the filtration of salt during water uptake at the root level, but this process does not prevent salt accumulation in the mangrove photosynthetic tissues on the long-term. Salt concentration in the mangrove xylem sap may be low but the continuous demand for water leads to unavoidable salt accumulation at the photosynthetic surfaces.

The main effort in the study of ecophysiology of mangroves has been on their tolerance to salinity, and how it affects growth and photosynthesis (Clough, 1984; Tomlinson, 1986; Ball, 1988; Medina, 1999). Mangroves are considered true halophytes, indicating that they can complete their biological cycle, from establishment to reproduction, under salinity conditions ranging from nearly fresh water to up to three times the concentration of standard seawater ( $\approx 35\%$ ). Recently the question on whether mangroves are “obligate or facultative halophytes” has been brought up in the literature (Wang *et al.*, 2011; Krauss and Ball, 2013). Analysis of mangrove tissues growing under natural conditions are well known for the predominance of Na and Cl ions, and in some cases  $\text{SO}_4$  ions (Walter and Steiner, 1936; Popp, 1984; Smith *et al.*, 1989). Besides, in nearly

freshwater wetlands mangrove species such as *R. mangle* accumulate  $\text{Na}^+$  ions to concentrations approaching that of standard seawater (Table 2; Medina *et al.* 1995, 2005, 2008). Mangroves cultivated in nutrient solutions without added NaCl and with increasing concentrations of this salt show frequently an optimum response at salinity levels around 10 to 25 percent of standard sea water (Pannier, 1959; Downton, 1982; Naidoo and von Willert, 1999; Suárez and Medina, 2006).

Accumulation of salts in leaf tissues is toxic, and leads to impairment of leaf functions. The salty solution transported in the xylem lifted by the root-leaf water potential gradient fills up the intercellular spaces of the leaves. This elicits responses induced by the osmotic potential of the intercellular solution, initially causing shrinking of the leaf tissues, and also activating Na transporters that either prevent Na intake into the cytosol or promote its transport to the vacuole (Liang *et al.*, 2008).

Mangrove species have developed a variety of biochemical mechanisms leading to increases in protoplasmic tolerance to salt. Accumulation of ions within the vacuole creates an osmotic imbalance within the cell that leads to cytosol dehydration. This imbalance is counteracted by the accumulation in the cytosol of the so called “compatible solutes”, compounds that can be accumulated in concentrations large enough to balance the osmotic potential of the vacuolar sap, but that do not impair enzymatic function in the cytosol (Wyn Jones and Gorham, 2002). The best known of those compounds is the iminoacid proline. This compound accumulates in many angiosperms, in response to drought stress. In halophytic plants it has been shown that the increases in external salt concentration induces accumulation of proline, in a process that may be related to drought stress (concentration effect of the external solution). Other compounds common in mangroves are betaines, specially glycinebetaine in the black mangrove *A. germinans*, and polyols such as D-mannitol in *L. racemosa* and *Aegiceras corniculatum*, pinitol in *Aegialitis annulata* and D-1-O-methyl mucinositol in species of *Rhizophora* and in the sporophyte of the mangrove fern, *Acrostichum aureum*



TABLE 2. Average osmolality and ionic composition of leaf sap from wetland trees in non-saline coastal wetlands in the Maracaibo basin. The cation ratio was averaged for all samples, not from average concentrations. For the leaf samples number in columns followed by the same letter are not statistically different ( $p>0,05$ ) (Medina *et al.*, 2005).

Sample	n	Osmolality (mmol/kg)	Na (mol/m <sup>3</sup> )	K	Na/K
Standard Sea Water		1000	459	9,7	47,4
Wetland Water	42-46	31	13	0,5	17,6
<i>Rhizophora mangle</i>					
Adult leaves	24	1036a	165a	119a	1,5
Senescent leaves	21	944a	179a	102a	2,0
<i>Hibiscus pernambucensis</i>					
Adult leaves	10	602b	146a	72ab	4,0
Senescent leaves	7	543bc	154a	44b	6,2
<i>Pterocarpus officinalis</i>					
Adult leaves	8	442c	27b	88ab	0,5

(Table 1). Accumulation of these compounds has associated metabolic costs. In the case of polyols, the cost is comparatively small in terms of carbon. In the case of glycinbetaines and other quaternary ammonium compounds, however, the demand for additional N beyond the amount required for photosynthetic enzymes increases the concentration of N of mangroves species accumulating this type of compound. This probably explains why *Avicennia* species have consistently higher concentrations of N in their leaves compared to other mangroves (Medina and Francisco, 1997; Lovelock and Feller, 2003; Lugo *et al.*, 2007).

## STRUCTURAL DEVELOPMENT, SALINITY, AND NUTRIENTS.

One of the most impressive features of mangrove communities is their range of structural development, described as structural plasticity. Tall communities of *R. racemosa*, *R. mangle* and *A. germinans* are found along the humid Atlantic coasts of northern South America containing trees surpassing 30 m height (Bacon, 1990; Schaeffer-Novelli *et al.*, 1990; Twilley and Medina, 1996; Medina and Francisco, 1997; Rivera Monroy *et al.*, 2004; Mehlig

*et al.*, 2010). The opposite extremes are found in the Caribbean where dwarf *R. mangle* and *A. germinans* mangroves have been studied in Florida, Puerto Rico, Belize, and Panama (Pool *et al.*, 1977; Lin and Sternberg, 1992a, b; Feller, 1995; Medina *et al.*, 2010).

Dwarf mangroves should not be confused with stunted mangroves of similar height. A stunted mangrove has reduced height because of environmental stresses such as high salinity or wind (Cintrón *et al.*, 1978; Naidoo, 2006). Leaf size in stunted mangroves is also reduced by the environmental stress. However, a dwarf mangrove maintains normal leaf size but its height is reduced. In the Caribbean, dwarf *Rhizophora* communities are common on flooded peat substrates overlying carbonate layers. The peat originates mostly from the accumulation of their own debris, mainly recalcitrant roots that do not decompose in the hypoxic substrate. In a groundbreaking study, Feller (1995) showed in *Rhizophora* communities in Belize that dwarfing was caused by P deficiency, and it can be at least partially overcome by fertilization. Several studies confirmed this finding and described the complex N-P relationships in these communities (Feller *et al.*, 1999; McKee *et al.*, 2002; Feller *et al.*, 2002; Lovelock *et al.*, 2006c).

These findings are also relevant to predicting the effect of coastal eutrophication on mangroves. Lovelock *et al.* (2009) compared several research sites around the world and concluded that increase in nutrient availability can increase mangrove mortality particularly in semiarid coasts. The fertilization effect was detected only on N-fertilized scrub mangroves stressed by hypersaline conditions. Authors argued that increased fertilization is bound to increase shoot/root ratios, and thus render the plants more vulnerable to salt and drought. However, as this effect was not observed on fringe mangroves, and P fertilization treatment did not influence mortality rates, the results do not support the generalization of the initial statement.

*Differences in Photosynthesis and Water Use-Efficiency.* Lin and Sternberg (1992a, b) conducted a series of comparative studies between fringe and scrub mangroves in Florida. The study showed higher water use-efficiency, both short- and long-term, in scrub mangroves species (*R. mangle*, *L. racemosa* and *A. germinans*), and the differences were attributed more to leaf conductance than to absolute rates of photosynthesis. The study suggested that the differences in structural development were due to higher salinity and poorer aerations conditions in the scrub mangrove communities. Higher water use-efficiency in scrub mangroves is a result of stomatal limitation on photosynthesis, which may entail considerable carbon cost to the plants.

Cheeseman and Lovelock (2004) compared fringe and dwarf *Rhizophora* trees in Belize reporting that leaf photosynthetic rate is saturated at irradiation levels just above  $500 \mu\text{mol m}^{-2} \text{s}^{-1}$  in both communities, but photosynthetic response in control and P or N fertilized plants did not show a clear pattern. Differences in gas exchange did not remain constant throughout the year, but dwarf mangroves had higher long-term water use-efficiency as indicated by  $\delta^{13}\text{C}$  values. McKee *et al.* (2002) observed in dwarf P-limited *Rhizophora* stands in Belize that higher  $\delta^{13}\text{C}$  values indicating higher long-term water use-efficiency were reduced when fertilized with P but not with N. These results are related to

changes in hydraulic conductivity discussed further below.

*Hydraulic Structure and Conservative Water Use.* One aspect relatively neglected in the study of mangrove physiological ecology is the relationship between resistance to water transport from roots to leaves in trees growing on saline soils. Mangroves in general develop large water deficits, expressed as water potentials as low as those typical for plant from dry areas growing under chronic water deficiency. Restrictions in water availability under conditions of high atmospheric evaporative demand, increases the probability of xylem cavitation, the breaking of liquid columns in xylem vessels, leading to embolism, and reduction of water flow to the leaves (Ewers *et al.*, 2004; López-Portillo *et al.*, 2005; Robert *et al.*, 2009). Hydraulic properties of roots, stems, and leaves have to be coupled to regulate water losses at the leaf levels, i.e., stomatal regulation of transpiration. In fact, hydraulic conductance and stomatal regulation appear to be coupled together and thus prevent xylem embolism. When evaporative demand is high and transpiration stream cannot cover water loss, stomata close, thus preventing embolism (Franks and Brodribb, 2005).

In seasonally humid tropical climates in the American continent a distinct pattern in the distribution of mangrove species occurs. *Rhizophora* spp. dominates the coastline in contact with sea, estuarine, or fresh water (fringe), whereas *Avicennia* spp. tends to dominate in the back of the mangrove community towards the interior (basin), away from the fringe. *Laguncularia racemosa* occupies intermediate zones or is found scattered within fringe and basin depending on the incidence of direct rainfall or superficial run-off. A variety of explanations have been put forward to explain this differentiation in terms to tolerance to flooding and/or salinity. *Avicennia* spp. in general is considered to be more salt resistant, but also to tolerate seasonally variable salinity levels, thus considered as an euryhaline species. *Rhizophora* spp. on the contrary, may be considered to be stenohaline, because in spite of their high salinity tolerance, they avoid sites with large salinity fluctuations. Consistent with the salinity environ-



ment, Mendoza *et al.* (2012) found in northern Brazil a greater redox variation and higher oxidation in the root zone of *Avicennia* than in the *Rhizophora* root zone.

Sperry *et al.* (1988) compared the hydraulic properties of stems from two species of the Rhizophoraceae, occurring in contrasting environments, *R. mangle* by the sea, and *Casipourea elliptica* from rain forest. It was shown that the hydraulic properties corresponded to the environmental demands in which each species occurred. Since then, detailed analyses of the relationships between gas exchange, anatomy of water conducting tissue, and hydraulic architecture of mangrove trees have been published by several laboratories. Sobrado (2000) set the basis for understanding the relationships between photosynthetic performance and stem hydraulic properties and the ecological implications for mangrove species under natural conditions. The study showed that the three common mangrove species in the Caribbean have hydraulic conductances at the lower end of the range reported for tropical trees. In addition, these species showed specific differences related to the environment in which they usually occur. She found that *L. racemosa* and *A. germinans* were more conservative in water use, a characteristic that may be advantageous in soils with fluctuating salinities, compared to *R. mangle* growing in areas with more constant salinities (Fig. 5). However, the main barrier for water flow in mangroves is probably located in the root, where salt is largely excluded. Experimental studies with *Avicennia* seedlings showed that both whole shoot hydraulic conductance and leaf blade conductance were reduced by salinity (Sobrado, 2001), a response probably reducing xylem vulnerability to cavitation.

Lovelock *et al.* (2004) found P deficiency in dwarf Caribbean mangroves and contributed to understanding dwarfism of *Rhizophora* communities. They fertilized with P and found a response in increasing branching and a denser canopy. These responses were associated with increases in stem hydraulic conductivity. As described above, dwarf mangroves are characterized by less negative  $\delta^{13}\text{C}$  values, indicating their higher water use efficiency, or the more conservative use of water. The increase in stem conductivity is related with reduction in water use-effi-

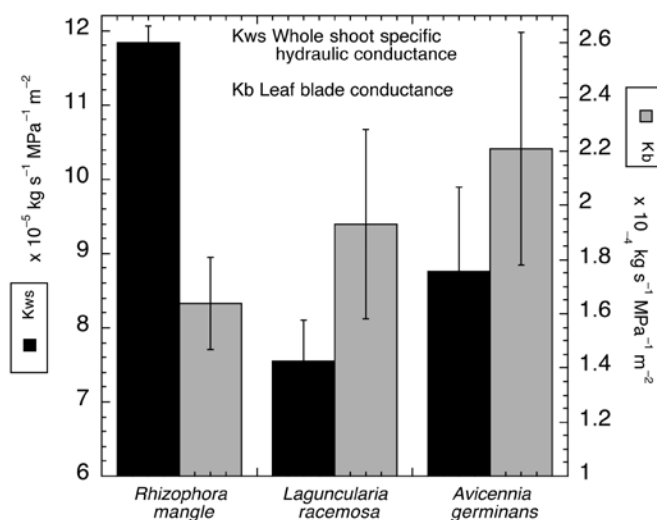


FIGURE 5. Hydraulic characteristics of common mangrove species in the Caribbean region (with data from Sobrado, 2000). *Laguncularia racemosa* and *Avicennia germinans* have less efficient water transport at shoot level, but are more efficient in water use at the leaf level in comparison to *Rhizophora mangle*.

ciency. The process indicates the strong correlation between stomatal function and regulation of water use, leading to a reduction in catastrophic xylem embolism. Further studies by this group (Lovelock *et al.*, 2006a, b) comparing the field performance of mangrove species submitted to fertilization with P or N, and under different salinity stress in Belize, Panamá, and Florida lead to the conclusion that leaf hydraulic conductivity decreases with salinity, and that leaf and stem conductivity are sensitive to P fertilization in P-limited sites.

## SEASONAL GROWTH DETECTION BY ANNUAL RINGS

Estimating the age of tropical trees using dendrochronological techniques has been pursued with variable degree of success. In highly seasonal environment such as the dry tropical forests or forested wetlands with large seasonal flooding in the Amazon basin, formation of annual rings has been clearly demonstrated using anatomical and radiochemical techniques (Worbes, 1999; Schöngart *et al.*, 2002). In

*Rhizophora* forests growing on saline and brackish soils in the state of Para in Brazil, Menezes *et al.* (2003) showed that trees developed annual rings (checked with the  $^{14}\text{C}$  technique) but the anatomical features were better defined in saline than in brackish sites. Anatomical analyses showed also the occurrence of annual rings in *L. racemosa* growing in the State of Rio de Janeiro, Brazil (Estrada *et al.*, 2008). The anatomical basis and reliability of annual ring formation have been submitted to severe tests, and the conclusion was that the use of growth rings for age or growth rate determinations should be evaluated on a case by case basis, and accompanied by simultaneous measurements of stem growth (Robert *et al.*, 2011). Even in cases when no well-defined rings are formed, application of high-resolution analysis of stable isotopes ( $^{18}\text{O}$  and  $^{13}\text{C}$ ) has proved annual cyclicity in tree growth (Verheyden *et al.*, 2004).

## MANGROVES AND GLOBAL CARBON FLUXES

Today, the global role of mangroves in the carbon cycle is assessed by estimating average values for different fluxes and storages of carbon and multiplying those values by the global area of mangroves (Bouillon *et al.*, 2008). Above we discussed some of the issues that lead to uncertainty in the global area of mangroves. There is also uncertainty with estimates of average fluxes and storages of carbon in mangroves. For example, as shown in the

section on the ecophysiology of mangroves, there is no ecophysiological argument to sustain an expectation that given a common set of environmental conditions the mangroves of a particular biogeographical region are more or less productive than those from another biogeographical region. Instead, mangrove functioning varies along ecological space such as along gradients of salinity, temperature, nutrient availability, and so on. The latitudinal differences in carbon fluxes observed by Bouillon *et al.* (2008) usually reflect latitudinal temperature gradients, with slower fluxes at lower temperatures. But there is more variation in carbon fluxes within a latitudinal range of conditions than across latitudinal gradients. One could argue that the complexity of the mangrove ecosystem rests on the diversity of environmental gradients under which they strive, and unfortunately we have a poor understanding of the magnitude of fluxes and storages of carbon and areal extent for each type of mangrove setting. Such information is needed to accurately assess the global role of mangroves in the carbon cycle.

Alongi (2009) published a synthesis of carbon storages and fluxes in the literature and improved on the earlier efforts reported in Lugo (2002). The average values he reports confirm the notion that mangrove environments are places where the fluxes of carbon are rapid and comparable with other ecosystem types (Table 3). Multiplying

TABLE 3. Carbon fluxes of mangroves and tropical humid forests (from Alongi, 2009).

Flux (gC/m <sup>2</sup> .yr)	Mangroves	Tropical Humid Forests
Gross Primary Production	4 596	3 551
Net primary Production (NPP)	1 930	825
Foliage NPP	425	316
Wood NPP	419	212
Root NPP	1 086	324
Net Ecosystem Production	1 018	403
Total Ecosystem Respiration	3 125	3 061
Canopy Respiration	2 644	2 323
Heterotrophic Respiration	488	877
Total Ecosystem Respiration/ Gross Primary Productivity	0,68-0,90	0,88





these average numbers by the global area of mangroves (Fig. 1), result in estimates that show that although mangroves may only represent 0,6 percent of the global area of forests and coastal ecosystems, they contribute almost 7 percent of the global respiration and gross primary productivity and 5,2 percent of the global net primary productivity (Alongi, 2009). Moreover, mangroves are particularly important in the burial of carbon (Table 4), and in fact, coastal ecosystems emerge as critical carbon hotspots for the world in what is now known as blue carbon (McLeod *et al.*, 2011). In general, mangroves function as a global carbon sink (Bouillon *et al.*, 2008).

## MANGROVES IN THE CONTEXT OF CLIMATE CHANGE

In this section we will focus on atmospheric warming and sea level rise to illustrate some of the effects of climate change on mangroves. Mangroves have historically adjusted to sea level and atmospheric temperature changes. While mangroves are generally tropical ecosystems, today one finds mangroves in warm temperate life zones where frost is relative frequent although of low intensity. Mangroves at these low air temperature fringes have low stature, are monospecific (usually an *Avicennia* species), and exhibit particular leaf color, as they lack the lush green-

ness typical of tropical mangroves. With global warming, the life zones where mangroves can grow will expand, allowing mangroves to also expand latitudinally. Already mangroves are invading *Spartina* and freshwater tidal wetlands in the Mississippi delta (see the report and pictures by Karen L. McKee in <http://www.nwrc.usgs.gov/factsheets/2004-3125/2004-3125.htm>), and hurricanes are transporting mangrove propagules to the beaches of North Carolina.

**Temperature.** Air temperature rise may affect mangroves because their present optimum temperatures for photosynthesis are a little above 30 °C. Temperature increases may be manageable as several mangrove species avoid overheating through a high degree of leaf inclination. Increasing nocturnal temperatures however, may be more stressful due to increases in carbohydrate losses during nocturnal respiration, a process that will affect all forests in tropical regions. However, to a certain extent acclimation of physiological processes might be expected.

**Rainfall.** The effect of changes in rainfall patterns may be more insidious due to the distribution of mangroves in intertidal zones. Those species located near or at the water fringe are less vulnerable because their salinity environ-

TABLE 4. Carbon sequestration in sediments (burial) of coastal vegetation (blue carbon) compared with terrestrial forests of various latitudes. Data are from McLeod *et al.* (2011), who contains details of the estimates and statistical analyses.

<i>Ecosystem</i>	<i>Sedimentation</i> (g C m <sup>-2</sup> yr <sup>-1</sup> )	<i>Area</i> (km <sup>2</sup> )	<i>Global</i> <i>Sedimentation</i> (Tg C yr <sup>-1</sup> )
Herbaceous halophytic wetlands	218	22 000 to 400 000	4,8 a 87,2
Mangroves	226	137 760 to 152 361	31,1 a 34,4
Marine sea grasses	138	177 000 to 600 000	48 a 112
Temperate Forests	5.1	10 400 000	53,0
Tropical Forests	4.0	19 622 846	78,5
Boreal Forests	4.6	13 700 000	49,3

ment is stabilized by the influence of tides. In the areas away from the fringe, at the upper reaches of tidal inundation, salt tends to accumulate creating at times hypersaline flats. Salt concentration in those areas is usually reduced through outwashing by superficial runoff and direct rainfall. Species growing near or within those areas will certainly be affected by reductions in rainfall. In fact, this process may be observed during past and current cycles of dry and wet periods, during which populations of *A. germinans*, the species characteristically associated with salt flats in semi-arid coasts, expand during wet years and contract markedly during dry years (Cintrón *et al.*, 1978).

*Sea Level.* Regarding sea level changes, McKee *et al.* (2007) showed that for over the last 10 000 years, mangroves in the Caribbean kept pace with sea level rise that involved rates of up to 5,2 mm/yr and more recently 0,9 mm/yr. Unpublished work by E. Cuevas, E. Medina, and A.E. Lugo in Puerto Rico confirmed this result. They found a dwarf mangrove stand growing over peat deposits that aged some 4500 years. The peat was 100 percent organic and consisted of dead mangrove roots. Studies from other parts of the world show that the ability of mangroves to keep up with sea level rise is variable and depends on local conditions and hydrogeomorphic setting of mangroves (Alongi, 2008; Krauss *et al.*, 2010). Thus, some mangroves will be more successful than others in keeping pace with future sea levels, requiring attention to the diversity of conditions under which mangrove grow.

Mangroves adjust to sea level changes by adjusting the level of the substrate through biotic accumulation of peat and/or terrigenous sediments. Where there is no terrestrial runoff, as in the locations studied by McKee *et al.* (2007), the depth, age, and accumulation rate of peat deposits coincided with the rate of sea level rise. However, if there is a terrestrial source of sediments, the soil elevates in proportion to sedimentation and peat accumulation rate. Table 3 shows that on average the net production of roots in mangroves is as high as that of leaves, supporting the capacity of mangroves to produce belowground car-

bon that directly contributes to the raising of mangroves above sea level.

In spite of the adaptations of mangroves to adjust to sea level, there is concern that anthropogenic sea level rise could affect mangrove distribution. Nicholls and Cazenave (2010) analyzed the recent and predicted rates of sea level change and showed that between 1992 and 2010 sea level increased at a 3,26 mm/yr rate. Predictions for the future range widely (up to 18 mm/yr). The question from a mangrove perspective is the nature of the response of the whole ecosystem. Mangroves have two ways of coping with sea level rise. They can both raise the forest floor and keep pace with sea level, or they can migrate inland. Each of these strategies has limits. Their capacity for keeping pace with sea level depends on their productivity and rate of sedimentation while their ability to migrate depends on the availability of space to do so.

In south Florida, where the topography is flat, mangroves migrated 3,3 km inland between 1940 and 1994 in response to a 10 cm sea level rise (Ross *et al.*, 2000). Mangrove migration is favored as sea level rises because the ocean's inland incursion increases soil salinity thus allowing mangroves to successfully move inland over freshwater wetlands.

The potential conversion of freshwater tidal wetlands to saline wetlands in southeastern United States can be surmised from the detailed analysis of these tidal freshwater wetlands in Conner *et al.* (2007). They show that the geomorphological settings for these wetlands are similar to those of mangroves. The fundamental differences in environmental settings between these two groups of wetlands are two. The most important is salinity, present only in the mangroves. Another difference is temperature, which decreases with latitude and thus limits mangrove expansion northward. Ning *et al.* (2003) estimated that as many as 640 000 ha of coastal maritime forests would be displaced by marshes and mangroves by 2100. Doyle *et al.* (2010) predict large increases in mangrove areas along the northern Gulf of Mexico, increases that occur at the expense of freshwater wetlands due to sea level rise. Similar model simulations of



sea level rise in south Florida yield similar results, already validated by the inland migration of mangroves within the Everglades. However, in the south Florida example of Ross *et al.* (2000), temperature differences were not significant over the 50-year period of the study and the mangroves expanded mostly in response to increasing soil salinity due to rising sea level. As air temperatures increase, the latitudinal expansion options for mangroves also increase. However, where geomorphological settings are unfavorable for mangrove expansion, the migration routes will be limited.

Ellison and Stoddart (1991) estimated that mangroves could keep up with sea level increases of 0,8 mm/yr to 0,9 mm/yr but would have difficulties with sea level increases over 1,2 mm/yr. They based their assessment on the rates of mangrove floor uplifting by sediment deposition, which Parkinson *et al.* (1994) estimated as ranging from 1 mm/yr to 13,3 mm/yr. Saenger (2002) assembled a global database on mangrove accretion rates determined by a variety of methods, which ranged from 0,1 mm/yr to 38 mm/yr., with rates commonly approaching 5 mm/yr. A recent summary by Krauss *et al.* (2010) includes data within the range of Saenger, but it also reports negative values throughout the world. Mangroves on islands or in dry coastal zones with low sediment inputs would be the most vulnerable to sea level rise as the terrigenous inputs of sediments would be lower than those of mangroves in alluvial environments. Since sea level is already rising at 3,26 mm/yr, and is expected to accelerate in the future, it becomes critical to understand the scenarios of sea level rise and sedimentation rates likely to affect mangroves. Such scenarios require consideration not only of sea level rise, but also of potential costal subsidence or accretion and periodic erosion events due to disturbances such as hurricanes or tidal and wave surges. Also, the present level of mangrove floors above sea level provide a time buffer to mangroves as sea level rise would have to overcome the sum of forest floor elevation plus rate of sedimentation. Mangrove floor elevations usually range between 40 mm and 90 mm above seas level. In some cases mangroves have been reported at 3 600 mm above sea level, but these

are the result of historical accidents (Woodroffe, 1995 and references therein).

In summary, the eventual outcome of the effects of sea level rise on mangroves will not displace mangroves from coastal environments nor will the mangrove ecosystem collapse globally. Instead, mangroves will prevail where favorable ecological conditions for their establishment and growth occur. Nevertheless, those ecological conditions that select for mangroves (the mangrove ecological space) will be displaced both locally and globally. At the global scale, warming of the atmosphere will allow mangroves to expand into warm temperate life zones not available to them today because of high frost frequencies. At the local scale, mangrove survival to sea level rise will depend on geomorphological conditions, with mangroves expanding at the expense of non-mangrove vegetation in locations that become saline but retain the low energy fringes, basins, or riverine geomorphologies where mangroves grow best. Fringes around islands may be reduced in width or disappear if island topography is not suitable for mangrove establishment at higher sea levels, or where their movement is thwarted by adjacent human land uses or impediments.

## MANGROVES AND THE ANTHROPOCENE

The Anthropocene expands the suite of environmental challenges that mangroves will have to overcome. For example, in the Anthropocene, mangroves must deal with their normal disturbance regime of acute and chronic events plus novel acute and chronic anthropogenic disturbances and novel acute and chronic disturbances that reflect the interaction between natural and anthropogenic forces (Table 5). Thus, the Anthropocene will challenge mangroves with a novel disturbance regime to which the mangroves must somehow adapt or else not be able to survive. Scientists differ in their expectations when looking at the current and future condition of mangroves. It is clear that this is a moment of exuberance regarding human attitudes towards mangroves. Some predict that mangroves might not recover after high intensity hurricanes (Smith *et al.*, 1994), and highlight the negative effects on

TABLE 5. Examples of disturbance regimes based on natural or historical conditions, anthropogenic conditions, and their synergy. Acute stands for short-duration disturbances and chronic for long-duration ones. Not depicted is the intensity and aerial extent of the disturbances.

<i>Type of Disturbance</i>	<i>Acute</i>	<i>Chronic</i>
Natural	Hurricane, flood	Drought, low nutrient availability
Anthropogenic	Deforestation, fire	Eutrophication, alteration of geomorphology,
Synergy	Tsunami on developed coast	Sedimentation from upstream urban sources, lower freshwater inflows

mangrove areas of anthropogenic activities (Valiela *et al.*, 2001). Sectors of society in Hawaii and China do not value mangroves and support programs to pursue their eradication (e.g., Ren *et al.*, 2009; see <http://www.mala-maopuna.org/waiopae.php>). On the other hand, mangroves are expanding in Hawaii, and China as they are in New Zealand, the United States, Cuba, and Puerto Rico. In most countries with mangroves, conservation groups and/or governments are planting mangroves to protect coastal zones and for other services. In Yucatán, Mexico, forensic ecology has been proposed as a way of approaching mangrove restoration efforts (Zaldívar Jiménez *et al.*, 2010). Clearly there is confusion as to what to do with an ecosystem that at one time was an ecological curiosity for its capacity to grow in seawater and was considered a dangerous wasteland (Lugo and Snedaker, 1974).

We agree with Hogarth (2007), who suggested that the solution to mangrove persistence is not to isolate mangroves from people, but to regulate interactions between mangroves and humans through effective management. In fact, we might have to expand the scope of the ecological analysis of mangroves to include the social forces converging on the mangroves in what has been termed Social Ecology. The concept of resilience, which depends not only on a system's reaction to change, but also on its capacity for learning and adaptation is fundamental to the social ecological approach to analyzing and managing people-nature relations (Berkes *et al.*, 2003; Glaser *et al.*, 2010). This need to broaden the scope of analysis is illustrated by the situation facing the managers of the Marismas Nacionales de

Nayarit in the northern Pacific coast of Mexico. This mangrove ecosystem extends over 113 000 ha (the estuarine forest wetlands of Marismas Nacionales extend over 175 000 ha: Blanco y Correa *et al.*, 2011) and is recipient of water discharges as high as 6 to 9 thousand m<sup>3</sup>/s from upland watersheds that cover over 1 million ha. The biodiversity of the mangrove region is notable and involves plant and animal species of high conservation value as well as rich fisheries associated with the mangroves and the coastal environment. Nevertheless, the lands that feed these mangroves with freshwater runoff, sediments, and nutrients are highly fragmented by the construction of canals and alterations of the topography to such a degree that the normal hydrology of the mangroves is far from what the historical regime was. The alterations have to do with the agricultural development of the region, which now conflicts with the ecological imperative of the mangroves (Benítez-Pardo *et al.*, 2002). The resulting social, ecological, and economic situation is extremely complicated and exhibits the inextricable ties between people and the environment that cannot be resolved by traditional disciplinary approaches. The trans-discipline of Social Ecology represents a novel approach for dealing with the novel conditions faced by the mangroves of Nayarit.

## WHAT TO EXPECT FOR MANGROVES IN THE ANTHROPOCENE

We have already established that the mangroves of the Anthropocene will be on the move as a result of sea level rise and atmospheric warming. Sea level rise will inundate



and drown some mangrove areas and will stimulate the landward movement of mangroves where conditions allow it. This will involve competition and reassembly of communities of halophytic species and possible displacement of non-halophytic species. Latitudinally, mangroves could extend their distribution to locations where they could not grow before due to high frost frequency. This expansion will involve competition with herbaceous halophytes such as *Spartina* (Kangas and Lugo, 1990). However, Peterson and Bell (2012) showed that at the mangrove-salt-marsh ecotone, facilitation processes among species increased the movement of *Avicennia* into the salt-marsh.

The movement of mangrove species by humans will also expand mangroves to locations where they do not occur naturally, as is already the example of Hawaii and French Polynesia (Fourqurean *et al.*, 2010). Humans also introduce the possibility of competition between old-world and new world mangrove species in areas where humans become the vectors of bio-geographical change. An example of this is a mangrove forest in Miami, Florida, where the Fairchild Botanical Garden introduced several old-world mangrove species, two of which are now expanding at the expense of native mangrove species (Fourqurean *et al.*, 2010). The naturalization of *Bruguiera gymnorrhiza* and *Lumnitzera racemosa* in south Florida is a harbinger of increased diversification of Neotropical mangroves should the introduction of Indo-Pacific mangroves continue. Fourqurean *et al.* (2010) also give examples where new world mangroves have been introduced into old-world mangroves as in China. All these movements of mangrove species open the development of novelty and novel ecosystems, i.e., new species combinations *sensu* Hobbs *et al.*, 2013, into mangrove environments.

The level of functioning of Anthropocene mangroves is more difficult to predict than is the expected movements of mangrove species. A confounding problem is that we don't understand the direction of change in such critical variables as those associated with the hydrology of the mangroves. The forces of urbanization can cause havoc with regional hydrological conditions, which in turn will affect mangroves and other coastal systems. Also, chan-

ges in mangrove substrates due to filling near urban areas in south Florida, result in mangroves with novel plant-soil interactions (Osland *et al.*, 2012). Moreover, it is difficult to predict how mangrove systems will respond to warming given the indirect effects of salinity, freshwater availability, and atmospheric CO<sub>2</sub> increase. The critical action is to promote coordinated ecophysiological and ecosystem level studies of mangroves under the influence of changing conditions associated with the Anthropocene within an adaptive conservation and management strategy, at local to global levels. The most obvious generalization about conservation of mangroves, and any other tropical ecosystem in the Anthropocene, is that such actions must be based on research relevant to the factors of change affecting the ecosystems. The Anthropocene is characterized by the uncertainty associated with human actions. Thus, research must focus its efforts on dealing with uncertainty and conservation and management strategies must incorporate adaptive, flexible, diverse and, where possible, reversible elements. A better understanding of how to achieve this is best done in mangrove systems already dealing with anthropogenic effects.

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