

Editorial

# Introduction to the Special Issue on Tropical Forests: Management and Ecology in the Anthropocene

Ariel E. Lugo \* and Grizelle González 

United States Department of Agriculture, Forest Service, International Institute of Tropical Forestry, Jardín Botánico Sur, 1201 Ceiba St.-Río Piedras, Puerto Rico 00926, USA; ggonzalez@fs.fed.us

\* Correspondence: alugo@fs.fed.us; Tel.: +1-787-764-7743; Fax: +1-787-766-6302

Received: 17 December 2018; Accepted: 19 December 2018; Published: 10 January 2019



**Abstract:** This Special Issue of *Forests* is based on papers presented at the 75th anniversary of the United States Department of Agriculture (USDA) Forest Service International Institute of Tropical Forestry as well as other papers relevant to the topic of the Special Issue. The Institute is but one leg of a conservation relay among cultures and institutions that began in Puerto Rico a millennium ago. The Institute began operations in 1939 and celebrated its 75th anniversary on May, 2014. Over its 75 years of operation, the Institute has focused its research on tropical forests, with the scope of the research expanding over the years. An analysis of the lines of research of the Institute showed that over its history about 69 lines of research have been established and that of the original 17 lines of research between 1939 and 1949, all but one remained active in 2014. This history and continuity of the research program has allowed the Institute to observe ecological phenomena over decades, including the evolving forest structure and functioning on degraded land restoration experiments that began before the formal establishment of the Institute and are now over 80 years old.

**Keywords:** Tropical Forestry Research; Long-Term Ecological Research; Tropical Forest Management; Tropical Forest Conservation

---

## 1. Tropical Forestry Research in the Anthropocene

In spite of the continuity of research focus at the United States Department of Agriculture (USDA) Forest Service International Institute of Tropical Forestry [1,2], there have been historical moments when a particular research emphasis or paradigm shift has taken place. For example, the volume celebrating the Institute's 50th anniversary [3] summarized silvicultural and ecological research and their relevance to tropical forests in general, with little attention paid to the importance of disturbances to tropical forest functioning and species composition. The passage in September 1989 of hurricane Hugo over the Luquillo Mountains, where most of the research was focused, caused a paradigm shift not reflected at the time of the 50th anniversary in May 1989. That paradigm shift led to the book 'A Caribbean Forest Tapestry: The multidimensional nature of disturbance and response' by Brokaw et al. [4]. In that book, ideas of tropical forest resilience emerged and added new insights into forest conservation in the face of extreme disturbance events.

The recognition by geologists of the onset of the Anthropocene epoch [5] again changed the emphasis of the research program at the Institute and this shift in emphasis is reflected in this Special Issue. The Anthropocene presents new challenges to forest conservation that research programs must address [6,7]. Amongst the challenges, the most perplexing is the uncertainty of conditions faced by both ecosystems and those who study and conserve them, and the response of forests through species composition changes and novelty [8]. Thus, the title of this Special Issue, *Tropical Forest Ecology and Management in the Anthropocene* on the one hand reflects the continuity of the Institute's research focus on forest ecology and management, while on the other hand it recognizes its application and

innovations in relation the challenges posed by the new epoch of the Anthropocene. We asked our contributing scientists and collaborators to review progress on their lines of research in light of the conditions of the Anthropocene.

The resulting contributions to this Special Issue illustrate some of the principal elements of an adaptive research and development program for the conservation of tropical forests in the Anthropocene, which includes the sustainable management of forests. These elements include:

- A long-term focus, required to develop perspective and insight into time-dependent ecological processes.
- Attention to all lands and all species because all have a role to play (social and/or ecological) in an uncertain and changing world.
- Science at many scales because the functioning of ecosystems involves hierarchical processes operating from molecular to global scales.
- Monitoring of changes in biodiversity as essential for adaptive conservation and for maintaining a pulse on the response of biotic systems to changing environmental conditions.
- Experimentation as a way of seeking causality and improving understanding of social and ecological phenomena.
- Understanding novelty in ecosystems, to verify its adaptive role in light of environmental uncertainty.
- Attention to climate and environmental change, which are drivers of biodiversity changes and novelty.
- Studying urban systems because most of the human population increasingly depends on these environments for their habitation and quality of life.
- A social-ecological focus because the production and application of human knowledge in the Anthropocene transcends disciplines and interdisciplinary action. Addressing the wicked problems of the Anthropocene requires transdisciplinary approaches, which incorporate multiple ways of knowing when addressing problems.
- Fomenting collaboration among many social sectors to optimize the use of available resources in support of human activities and their adaptation to future climate and environmental change.
- Developing novel policies for effective governance because many of the policies of the Holocene are outdated and ineffective under Anthropocene conditions.
- Improving institutions and their knowledge systems to make them learning and adaptive organizations sufficiently nimble to be capable of adjusting and transforming in light of changing social and ecological environments.

In Table 1 we relate these elements to Special Issue contributions. We see these elements as evolving notions of the lines of research that help us deal with the uncertainty of the Anthropocene. We see the Institute as a learning and evolving research and development organization that strives to develop knowledge that helps forests and people adapt and transform in the Anthropocene. We look forward to our 100th anniversary when the program will likely look as different from this one as this one itself is different from what we were doing during the 50th anniversary.

**Table 1.** List of research and conservation elements or activities that contribute to an effective forest research and development program relevant to addressing the uncertainties of the Anthropocene epoch, and example manuscripts in this Special Issue or in recent Special Issues produced by Institute scientists.

Element of Research or Action	Contributed Manuscripts*
A long-term focus	Brown and Lugo [9], González and Lodge [10], Heartsill-Scalley [11]
Attention to all lands and all species	Gould et al. [12], Jacobs [13]
Science at many scales	Fonseca da Silva et al. [14], Medina et al. [15]
Experimentation as a way of seeking causality	Wood et al. [16], Shiels and González [17,18], Shiels et al. [19], Kimball et al. [20]
Attention to climate and environmental change	Henareh et al. [21], Gould et al. [22], Feng et al. [23], Jennings et al. [24], Van Beusekom et al. [25,26]
Monitoring of changes in biodiversity	Campos-Cerqueira et al. [27], Wunderle and Arendt [28], González et al. [29], Heartsill-Scalley and González [30]
Understanding novelty	Lugo and Erickson [31]
Attention to urban environments and their functioning	Muñoz-Erickson et al. [32]
A social-ecological-technological focus	Lugo and Alayón [33], Lugo [34]
Fomenting collaboration among many sectors of society	González and Heartsill-Scalley [35]
Development of novel policies for effective governance	McGinley [36], Rudel [37]
Institutional improvement	M. Rains [38]

\* Manuscripts are part of this Special Issue or are recent products of the Institute's program.

## 2. Conclusions

Long-term ecological research is required to support tropical forest conservation, including active management. Such research needs to be trans-disciplinary with a focus on the social, ecological, and technological aspects of forest conservation.

**Acknowledgments:** This study was conducted in collaboration with the University of Puerto Rico. The Luquillo Critical Zone Observatory (EAR-1331841) and Grant DEB 1239764 provided additional support for G. González from the U.S. National Science Foundation to the Institute for Tropical Ecosystem Studies, University of Puerto Rico, and to the International Institute of Tropical Forestry USDA Forest Service, as part of the Luquillo Long-Term Ecological Research Program. The research is part of the Institute's contribution to the San Juan ULTRA program through the International Urban Field Station. We thank Tischa Muñoz-Erickson and Tamara Heartsill-Scalley for their review of the manuscript.

**Conflicts of Interest:** The authors declare no conflict of interest.

## References

1. Robinson, K.; Bauer, J.; Lugo, A.E. *Passing the Baton from the Tainos to Tomorrow: Forest Conservation in Puerto Rico*; FS-862; U.S. Department of Agriculture Forest Service, International Institute of Tropical Forestry: San Juan, Puerto Rico, 2014.
2. Lugo, A.E.; Scatena, F.N.; Waide, R.B.; Greathouse, E.A.; Pringle, C.M.; Willig, M.R.; Vogt, K.A.; Walker, L.R.; Gonzalez, G.; McDowell, W.H.; et al. Management implications and applications of long-term ecological research. In *A Caribbean Forest Tapestry: The Multidimensional Nature of Disturbance and Response*; Brokaw, N., Crowl, T.A., Lugo, A.E., McDowell, W.H., Scatena, F.N., Waide, R.B., Willig, M.R., Eds.; Oxford University Press: New York, NY, USA, 2012.
3. Lugo, A.E.; Lowe, C. *Tropical Forests: Management and Ecology*; Springer-Verlag: New York, NY, USA, 1995.

4. Brokaw, N.; Cowl, T.A.; Lugo, A.E.; McDowell, W.H.; Scatena, F.N.; Waide, R.B.; Willig, M.R. *A Caribbean Forest Tapestry: The Multidimensional Nature of Disturbance and Response*; Oxford University: New York, NY, USA, 2012.
5. Waters, C.N.; Zalasiewicz, J.; Summerhayes, C.; Barnosky, A.D.; Poirier, C.; Gałuszka, A.; Cearreta, A.; Edgeworth, M.; Ellis, E.C.; Ellis, M.; et al. The Anthropocene is functionally and stratigraphically distinct from the Holocene. *Science* **2016**, *351*, aad2622. [[CrossRef](#)] [[PubMed](#)]
6. Lugo, A.E. Evolving conservation paradigms for the Anthropocene. In *Forest Conservation and Management in the Anthropocene: Adaptations of Science Policy and Practices*; USDA Forest Service: Fort Collins, CO, USA, 2014; Volume RMRS-P-71, pp. 47–59.
7. Lugo, A.E. Forestry in the anthropocene. *Science* **2015**, *349*, 771. [[CrossRef](#)] [[PubMed](#)]
8. Lugo, A.E. Novel tropical forests: Nature’s response to global change. *Trop. Conserv. Sci.* **2013**, *6*, 325–337. [[CrossRef](#)]
9. Brown, S.; Lugo, A.E. Trailblazing the carbon cycle of tropical forests from Puerto Rico. *Forests* **2017**, *8*, 101. [[CrossRef](#)]
10. González, G.; Lodge, D.J. Soil biology research across latitude, elevation and disturbance gradients: A review of forest studies from Puerto Rico during the past 25 years. *Forests* **2017**, *8*, 178. [[CrossRef](#)]
11. Heartsill-Scalley, T. Insights on forest structure and composition from long-term research in the Luquillo Mountains. *Forests* **2017**, *8*, 204. [[CrossRef](#)]
12. Gould, W.A.; Wadsworth, F.H.; Quiñones, M.; Fain, S.J.; Álvarez, N.L. Land use, conservation, forestry, and agriculture in Puerto Rico. *Forests* **2017**, *8*, 242. [[CrossRef](#)]
13. Jacobs, K.R. Teams at their core: Implementing an “All LANDS approach to conservation” requires focusing on relationships, teamwork process, and communications. *Forests* **2017**, *8*, 246. [[CrossRef](#)]
14. Fonseca da Silva, J.; Medina, E.; Lugo, A.E. Traits and resource use of co-occurring introduced and native trees in a tropical novel forest. *Forests* **2017**, *8*. [[CrossRef](#)]
15. Medina, E.; Cuevas, E.; Lugo, A.E. Substrate chemistry and rainfall regime regulate elemental composition of tree leaves in karst forests. *Forests* **2017**, *8*, 182. [[CrossRef](#)]
16. Wood, T.E.; González, G.; Silver, W.L.; Reed, S.C.; Cavaleri, M.A. On the shoulders of giants: Continuing a legacy of large-scale ecosystem manipulation experiments in Puerto Rico. *Forests*. In press, 8.
17. Shiels, A.B.; González, G. Tropical forest responses to large-scale experimental hurricane effects. *For. Ecol. Manag.* **2014**, *332*, 1–136. [[CrossRef](#)]
18. Shiels, A.B.; González, G. Tropical forest responses to large-scale experiments. *BioScience* **2015**, *65*, 839–840. [[CrossRef](#)]
19. Shiels, A.B.; González, G.; Willig, M.R. Responses to canopy loss and debris deposition in a tropical forest ecosystem: Synthesis from an experimental manipulation simulating effects of hurricane disturbance. *For. Ecol. Manag.* **2014**, *332*, 124–133. [[CrossRef](#)]
20. Kimball, B.A.; Alonso-Rodríguez, A.M.; Cavaleri, M.A.; Reed, S.C.; González, G.; Wood, T.E. Infrared heater system for warming tropical forest understory plants and soils. *Ecol. Evol.* **2018**, *8*, 1932–1944. [[CrossRef](#)] [[PubMed](#)]
21. Henareh Khalyani, A.; Gould, W.A.; Harmsen, E.; Terando, A.; Quiñones, M.; Collazo, J.A. Climate change implications for tropical islands: Interpolating and interpreting statistically downscaled GCM projections for management and planning. *J. Appl. Meteorol. Climatol.* **2016**, *55*, 265–282. [[CrossRef](#)]
22. Gould, W.A.; Díaz, E.L.; Álvarez-Berrios, N.L.; Aponte-González, F.; Archibald, W.; Bowden, J.H.; Carrubba, L.; Crespo, W.; Fain, S.J.; González, G. et al. U.S. Caribbean. In *Impacts, Risks, and Adaptation in the United States: Fourth National Climate Assessment*; Reidmiller, D.R., Avery, C.W., Easterling, D.R., Kunkel, K.E., Lewis, K.L.M., Maycock, T.K., Stewart, B.C., Eds.; U.S. Global Change Research Program: Washington, DC, USA, 2018; Volume II, pp. 809–871.
23. Feng, X.; Uriarte, M.; González, G.; Reed, S.; Thompson, J.; Zimmerman, J.K.; Murphy, L. Improving predictions of tropical forest response to climate change through integration of field studies and ecosystem modeling. *Glob. Chang. Biol.* **2018**, *24*, e213–e232. [[CrossRef](#)]
24. Jennings, L.N.; Douglas, J.; Treasure, E.; Gonzalez, G. *Climate Change Effects in El Yunque National Forest, Puerto Rico, and the Caribbean Region*; U.S. Department of Agriculture Forest Service: Asheville, NC, USA, 2014.
25. Van Beusekom, A.E.; González, G.; Rivera, M.M. Short-term precipitation and temperature trends along an elevation gradient in northeastern Puerto Rico. *Earth Interact.* **2014**, *19*, 1–33. [[CrossRef](#)]

26. Van Beusekom, A.E.; González, G.; Scholl, M.A. Analyzing cloud base at local and regional scales to understand tropical montane cloud forest vulnerability to climate change. *Atmos. Chem. Phys.* **2017**, *17*, 7245–7259. [[CrossRef](#)]
27. Campos-Cerqueira, M.; Arendt, W.J.; Wunderle, J.M.; Aide, T.M. Have bird distributions shifted along an elevational gradient on a tropical mountain? *Ecol. Evol.* **2017**, *7*, 9914–9924. [[CrossRef](#)]
28. Wunderle, J.M., Jr.; Arendt, W.J. The plight of migrant birds wintering in the Caribbean: rainfall effects in the annual cycle. *Forests* **2017**, *8*, 115. [[CrossRef](#)]
29. González, G.; Willig, M.R.; Waide, R.B. *Ecological Gradient Analyses in a Tropical Landscape*; John Wiley & Sons: Oxford, UK, 2013.
30. Heartsill-Scalley, T.; González, G. Introduction: Caribbean forest dynamics and community and regional forestry initiatives. *Caribb. Nat.* **2016**, *1*, 1–12.
31. Lugo, A.E.; Erickson, H.E. Novelty and its ecological implications to dry forest functioning and conservation. *Forests* **2017**, *8*, 161. [[CrossRef](#)]
32. Muñoz-Erickson, T.; Miller, C.A.; Miller, T.R. How cities think: knowledge co-production for urban sustainability and resilience. *Forests* **2017**, *8*, 203. [[CrossRef](#)]
33. Lugo, A.E.; Alayón, M. Understanding the vulnerability and sustainability of urban social-ecological systems in the tropics: Perspectives from the city of San Juan. *Ecol. Soc.* **2014**, *19*, 2.
34. Lugo, A.E. *Social-Ecological-Technological Effects of Hurricane María on Puerto Rico: Planning for Resilience under Extreme Events*; Springer International Publishing. Switzerland AG: Basel, Switzerland, 2019; 112 p. [[CrossRef](#)]
35. González, G.; Heartsill-Scalley, T. Building a collaborative network to understand regional forest dynamics and for the advancement of forestry initiatives in the caribbean. *Caribb. Nat.* **2016**, *1*, 245–256.
36. McGinley, K.A. Adapting tropical forest policy and practice in the context of the Anthropocene: Opportunities and challenges for the El Yunque National Forest in Puerto Rico. *Forests* **2017**, *8*, 259. [[CrossRef](#)]
37. Rudel, T.K. The dynamics of deforestation in the wet and dry tropics: A comparison with policy implications. *Forests* **2017**, *8*, 108. [[CrossRef](#)]
38. Rains, M.T. A Forest Service vision during the Anthropocene. *Forests* **2017**, *8*, 94. [[CrossRef](#)]



© 2019 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (<http://creativecommons.org/licenses/by/4.0/>).